

Format of holding of specified securities

- | | | |
|----|--|---|
| 1 | Name of Listed Entity | TATA INVESTMENT CORPORATION LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 501301/TATAINVEST/EQUITY |
| 3 | Share Holding Pattern Filed under | Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)- |
| a. | If under 31(1)(b) then indicate the report for Quarter ending | 31st March, 2016 |
| b. | If under 31(1)(c) then indicate date of allotment/extinguishment | NOT APPLICABLE |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



TATA INVESTMENT CORPORATION LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)			(XIII)		
								No of Voting Rights							Total as a % of (A+B+C)	No.		As a % of total Shares held	No.	As a % of total Shares held
								Class eg: X	Class eg: y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(a)	(b)	(a)	(b)	(XIV)			
(A)	Promoter & Promoter Group	9	40227400	0	0	40227400	73.01	40227400	0	40227400	73.01	0	73.01	0	0.00	0	0.00	40227400		
(B)	Public	25051	14867896	0	0	14867896	26.99	14866365	0	14866365	26.98	0	26.99	0	0.00	NA	NA	14181490		
(C)	Non Promoter- Non Public	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0		
	Total	25060	55095296	0	0	55095296	100.00	55093765	0.00	55093765	100.00	0.00	100.00	0	0.00	0	0.00	54408890		



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2		(XIII)		(XIV)		
								No of Voting Rights						Total as a % of Total Voting rights	No.	As a % of total Shares held			No.	As a % of total shares held
								Class X	Class Y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)													
(1) Indian																				
(a) Individuals/Hindu undivided Family Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(b) Central Government/ State Government(s) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(c) Financial Institutions/ Banks Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(d) Any Other (Bodies Corporate)		9	40227400	0	0	40227400	73.01	40227400	0	40227400	73.01	0	0	0.00	0	0.00	0	0.00		
(i) Tata Sons Limited	AAACT4060A	1	37541071	0	0	37541071	68.14	37541071	0	37541071	68.14	0	0	0.00	0	0.00	0	0.00		
(ii) Ewart Investments Limited	AAACE2546C	1	869472	0	0	869472	1.58	869472	0	869472	1.58	0	0	0.00	0	0.00	0	0.00		
(iii) Af-Taab Investment Company Limited	AAACA4800H	1	857143	0	0	857143	1.56	857143	0	857143	1.56	0	0	0.00	0	0.00	0	0.00		
(iv) Tata Chemicals Ltd	AAACT4059M	1	475840	0	0	475840	0.86	475840	0	475840	0.86	0	0	0.00	0	0.00	0	0.00		
(v) Tata Steel Limited	AAACT2803M	1	246018	0	0	246018	0.45	246018	0	246018	0.45	0	0	0.00	0	0.00	0	0.00		
(vi) Tata Global Beverages Limited	AABCT0602K	1	158469	0	0	158469	0.29	158469	0	158469	0.29	0	0	0.00	0	0.00	0	0.00		
(vii) Trent Limited	AAACL1838J	1	38550	0	0	38550	0.07	38550	0	38550	0.07	0	0	0.00	0	0.00	0	0.00		
(viii) Sir Ratan Tata Trust	AAATS1013P	1	21037	0	0	21037	0.04	21037	0	21037	0.04	0	0	0.00	0	0.00	0	0.00		
(ix) Trent Brands Limited	AAACL1047P	1	19800	0	0	19800	0.04	19800	0	19800	0.04	0	0	0.00	0	0.00	0	0.00		
Sub-Total (A)(1)		9	40227400	0	0	40227400	73.01	40227400	0	40227400	73.01	0	0	0.00	0	0.00	0	0.00		
(2) Foreign																				
(a) Individuals (Non-Resident Individuals/ Foreign Individuals) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(b) Government Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(c) Institutions Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(d) Foreign Portfolio Investor Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
(f) Any Other (specify) Name (xyz...)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
Sub-Total (A)(2)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00		
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	9	40227400	0	0	40227400	73.01	40227400	0	40227400	73.01	0	0	0.00	0	0.00	0	0.00		
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																				
Note:																				
(1) PAN would not be displayed on website of Stock Exchange(s).																				
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																				



Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(a)	(b)	(a)	(b)			(XIV)		
(1) Institutions																			
(a) Mutual Funds/UTI																			
(i) Templeton India Equity Income Fund	AAATT4931H	3	1317860	0	0	1317860	2.39	1317860	0	1317860	2.39	0	0	0	0	NA	1317860		
(b) Venture Capital Funds		2	1317648	0	0	1317648	2.39	1317648	0	1317648	2.39	0	0	0	0	NA	1317648		
(c) Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0		
Name (Xyz)																			
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	0	NA	0		
Name(Xyz)																			
(e) Foreign Portfolio Investors		24	1258734	0	0	1258734	2.28	1258734	0	1258734	2.28	0	0	0	0	NA	1258734		
(f) Financial Institutions/ Banks		15	23048	0	0	23048	0.04	23048	0	23048	0.04	0	0	0	0	NA	20641		
Name(Xyz)																			



Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)	As a % of total shares held (Not applicable)
								Class X	Class Y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)										(XIV)			
(g) Insurance Companies		5	721950	0	0	721950	1.31	721950	0	721950	1.31	0	1.31	0	0	NA	721650			
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
Name(Xyz)																				
(i) Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
Sub-Total (B)(1)		47	3321592	0	0	3321592	6.03	3321592	0	3321592	6.03	0	6.03	0	0	NA	3318885			
(2) Central Government/ State Government(s)/ President of India		7	410774	0	0	410774	0.75	410774	0	410774	0.75	0	0.75	0	0	NA	193156			
Name(Xyz)																				
Sub-Total (B)(2)		7	410774	0	0	410774	0.75	410774	0	410774	0.75	0	0.75	0	0	NA	193156			
(3) Non-institutions																				
(a) Individuals -		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		24376	7071341	0	0	7071341	12.83	7071341	0	7071341	12.83	0	12.83	0	0	NA	6613140			
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		51	2774492	0	0	2774492	5.04	2774492	0	2774492	5.04	0	5.04	0	0	NA	2774492			
(b) NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
Name(Xyz)																				
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	0			
Name(Xyz)																				



Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
								No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No. (not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	Class X	Class Y	Total	(a)	(b)	(a)	(b)			(XIV)		
(e) Any Other (specify)		570	1289697	0	0	1289697	2.34	1289697	0	1289697	2.34	0	2.34	0	0	NA	1281817		
Sub-Total (B)(3)		24997	11135530	0	0	11135530	20.21	11135530	0	11135530	20.21	0	20.21	0	0	NA	10669449		
Total Public Shareholding		25051	14867896	0	0	14867896	26.99	14867896	0	14867896	26.99	0	26.99	0	0	NA	14181490		
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):																			
Details of Shares which remain unclaimed: may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.																			
Note:																			
(1) PAN would not be displayed on website of Stock Exchange(s).																			
(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																			



TATA INVESTMENT CORPORATION LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		(XIV) (Not Applicable)
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not appli-cable)	As a % of total shares held (Not applicable)	
								Class X	Class Y											
(1) Custodian/DR Holder	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0			
(a) Name of DR Holder (if available)																				
(i) abc,...																				
(ii) efg,...																				
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0		
(a) Name (abc...)																				
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0	0		
Note																				
(1) PAN would not be displayed on website of Stock Exchange(s).																				
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares																				
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,																				

